



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/028,040	12/21/2001	Nishant Sinha	150.01140101

26813  
MUETING, RAASCH & GEBHARDT, P.A.  
P.O. BOX 581415  
MINNEAPOLIS, MN 55458



CONFIRMATION NO. 2997  
FORMALITIES LETTER



\*OC00000007690489\*

Date Mailed: 03/21/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

*Gerda Quintana*

05/24/2002 MBERHE 00000069 10028040 130.00 DF  
01 FC:105

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT  
Docket No. 150.01140101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sinha et al. ) Group Art Unit: 2812  
)  
Serial No.: 10/028,040 ) Examiner: Unknown  
Confirmation No.: 2997 )  
)  
Filed: December 21, 2001 )  
)  
For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING  
SURFACES USING COMPLEXING AGENTS

COMMUNICATION RE: MISSING PARTS

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application," mailed March 21, 2002, enclosed is an executed Declaration by the named inventors. Enclosed is our check in the amount of \$130 for the missing parts surcharge. Applicants submitted substitute drawings to the United States Patent & Trademark Office on April 15, 2002. Please charge any additional fees or credit any over-payment to PTO Deposit Account No. 13-4895.

The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

CERTIFICATE UNDER 37 C.F.R. 1.10:

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231.

*[Signature]*  
"Express Mail" mailing label number:  
EL888272997US  
Date of Deposit: 21 May 2002

May 21, 2002  
Date

Respectfully submitted for

Micron Technology, Inc.  
By  
Muetting, Raasch & Gebhardt, P.A.  
P.O. Box 581415  
Minneapolis, MN 55458-1415  
Telephone (612)305-1220  
Facsimile (612)305-1228  
Customer Number 26813



26813

PATENT TRADE MARK OFFICE

By: *[Signature]*  
Ann M. Muetting  
Reg. No. 33,977  
Direct Dial (612)305-1217

015-23-02  
TO \$IMP  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Sinha et al.

Group Art Unit: 2812

Serial No.: 10/028,040

Examiner: Unknown

Confirmation No.: 2997

Docket No.: 150.01140101

Filed: December 21, 2001

Title: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES USING COMPLEXING AGENTS

Assistant Commissioner for Patents

ATTN: Box Missing Parts

Washington D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ An itemized return postcard.
- ☐ An Information Disclosure Statement (\_\_\_ pgs); copies of \_\_\_ applications; 1449 forms (\_\_\_ pgs); and copies of \_\_\_ documents cited on the 1449 forms.
- ☒ A check in the amount of \$ 130.00 for missing parts surcharge.
- ☐ A certified copy of a \_\_\_ application, Serial No. \_\_, filed \_\_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.
- ☒ Other: Notice to File Missing Parts of NonProvisional Application (2 pgs.); Communication Re: Missing Parts (1 pg.); Executed Declaration (2 pgs.); Executed Election Under 37 C.F.R. §§3.71 and 3.73 and Power of Attorney (1 pg.); and copy of executed Assignment document (2 pgs.)
- Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$18 =	
Independent Claims				x \$84 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$280 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH &amp; GEBHARDT, P.A.

Customer Number: 26813



26813

PATENT TRADEMARK OFFICE

By:

Name: Ann M. Mueeting

Reg. No.: 33,977

Direct Dial: 612-305-1217

Facsimile: 612-305-1228

## CERTIFICATE UNDER 37 CFR §1.10:

"Express Mail" mailing label number: EL888272997USDate of Deposit: 21 May 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, ATTN: Box Missing Parts, Washington, D.C. 20231.

By:

Name: GARTH E. LUDWIG

(LARGE ENTITY TRANSMITTAL UNDER RULE 1.8)